

Docket 83488

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: BOURDONCLE ET AL.

Group Art Unit: 2176

Serial No.: 09/929,463

Examiner: NOT YET ASSIGNED

For: SEARCHING TOOL AND PROCESS
FOR UNIFIED SEARCH USING
CATEGORIES AND KEYWORDS

Filed: AUGUST 14, 2001

INFORMATION DISCLOSURE STATEMENT

RECEIVED
DEC 10 2001
Group 2100

- ☒ 1 Pursuant to 37 CFR 1.97(b)
[within 3 months of filing or prior to 1st Office Action]
- ☐ 2 Certification Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]
- ☐ 3 Fee Payment Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]
- ☐ 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)
[before issue fee payment]

Commissioner for Patents
Washington, DC 20231

SIR:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ 5 A list of documents on Form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- ☐ 8 The required certification made in item 11 below; or
- ☐ 9 The \$240.00 fee specified in 37 CFR § 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 10 37 CFR 1.97(d): [before issue fee payment]; and
- a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g. "Allowed Files, Batch _____, Date of Allowance _____"), and
- b) The required Certification is stated in item 11 below.

☐ 11 Certification

- ☐ 12 Each item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☒ 14 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 23-0920. An original and one (1) copy of this document are enclosed.

Respectfully submitted,

WELSH & KATZ, LTD.



Eric D. Cohen
Reg. No. 38,110

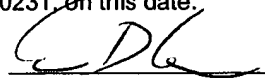
WELSH & KATZ, LTD.
120 South Riverside Plaza
22nd Floor
Chicago, IL 60606
(312) 655-1500
November 5, 2001

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on this date:

11-5-01

Date


Eric D. Cohen, Esq.
Registration No. 38,110
Attorney for Applicant

Form PTO-1449 (Rev. 8-88) INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No. 83488	Serial No. 09/929,463
	Applicant Bourdoncle et al.		
	Filing Date August 14, 2001	Group No. 2176	

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	5,463,773	10/31/95	Sakakibara et al.	395	600	
	5,924,090	07/13/99	Krellenstein	707	5	
	5,933,827	08/03/99	Cole et al.	707	10	
	5,963,965	10/05/99	Vogel	707	501	
	5,991,756	11/23/99	Wu	707	3	

FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
	WO 98/09229	05/03/98 March 5, 1998	PCT			X	
	WO 98/49637	05/11/98 Nov. 5, 1998	PCT			X	

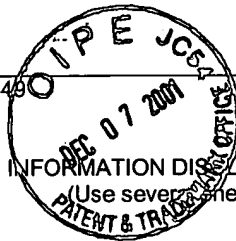
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Two page European Search Report; Application No. EP 00 40 2311 and one page Annex thereto
	Weiss, R. et al.: "Hypersuit: A Hierarchical Network Search Engine That Exploits Content-Link Hypertext Clustering"
	IBM® Technical Disclosure Bulletin, Vol. 40, No. 05; May 1997; Taxonomized Web Search

Examiner

Date Considered

*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form-PTO-1449
(Rev. 8-88)U.S. Department of Commerce
Patent and Trademark OfficeAttorney Docket No.
83488Serial No.
09/929,463INFORMATION DISCLOSURE STATEMENT
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Group 2100

FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Eguchi, K., et al. "Adaptive and Incremental Query Expansion for Cluster-Based Browsing"
	Hearst, M, et al. "Cat-a-Cone: An Interactive Interface for Specifying Searches and Viewing Retrieval Results Using a Large Category Hierarchy"

Examiner

Date Considered

*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.